

Vector Permutation Encoding for the Uniform Sources

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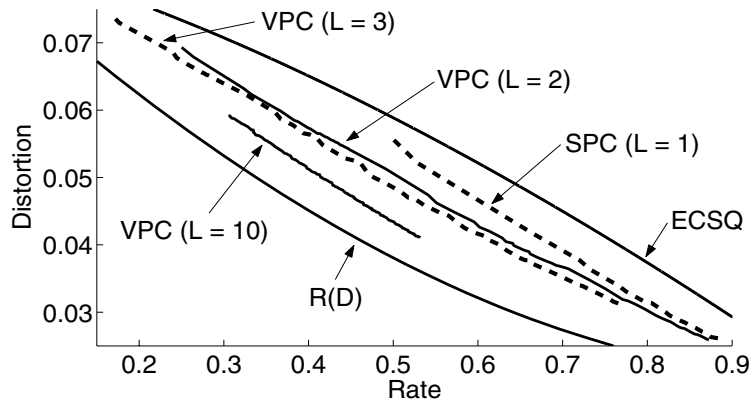
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This paper extends the idea of a scalar permutation code (SPC) [1] to a higher dimensional case. A vector permutation code (VPC) is defined as a fixed-rate vector quantizer with a codebook $\mathcal{C}[\mathbf{y}_0] = \{\mathbf{y}_\ell\}_{\ell=0}^{M-1}$ consisting of all the distinct permutations of the columns of an $L \times n$ matrix $\mathbf{y}_0 = (\boldsymbol{\mu}_1, \dots, \boldsymbol{\mu}_1, \boldsymbol{\mu}_2, \dots, \boldsymbol{\mu}_2, \dots, \boldsymbol{\mu}_K, \dots, \boldsymbol{\mu}_K)$, where each L -dimensional column-vector $\boldsymbol{\mu}_i$ appears n_i times. The size of the codebook is $M = \frac{n!}{\prod_{i=1}^K n_i!}$ and the rate of the code is $R = \frac{1}{nL} \log M$ bits/source-symbol. The optimal encoder reproduces a source output \mathbf{x} by the permutation of \mathbf{y}_0 that best match \mathbf{x} , i.e., by the matrix $O(\mathbf{y}_0, \mathbf{x}) = \arg \min_{\mathbf{y} \in \mathcal{C}[\mathbf{y}_0]} d(\mathbf{x}, \mathbf{y})$, where $d(\mathbf{x}, \mathbf{y})$ is the distortion

between \mathbf{x} and \mathbf{y} . The average per-symbol distortion is thus $D = \frac{1}{nL} E[d(\mathbf{X}, O(\mathbf{y}_0, \mathbf{X}))]$.

If the source can be characterized by a training set $\mathcal{T} = \{\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(t)}, \dots, \mathbf{x}^{(T)}\}$ formed by $L \times n$ matrixes, and the *composition vector* $\{n_i\}_{i=1}^K$ is fixed, a Lloyd-like algorithm can be used for (locally) optimum codebook design: (1) initialize \mathbf{y}_0 and set $S_i = \sum_{j=1}^i n_j$; (2) calculate $\bar{\mathbf{z}} = (\bar{z}_1 \dots \bar{z}_n) = \frac{1}{T} \sum_{t=1}^T O(\mathbf{x}^{(t)}; \mathbf{y}_0)$, $\boldsymbol{\mu}_i = \frac{1}{n_i} \sum_{j=1+S_{i-1}}^{S_i} \bar{z}_j$, and update \mathbf{y}_0 ; (3) repeat step 2 until \mathbf{y}_0 converges.

When $L \geq 2$, the matching operation for VPC has complexity $O(n^2 \sqrt{n} \log n)$ [2]; in spite of that, increasing the dimension provides an improvement of performance, as can be seen in the figure for a memoryless uniform source with a squared error criterion. In a related paper [3], we show that, as with SPC and ECSQ, the performance of VPC tends to that of ECVQ as blocklength $n \rightarrow \infty$. Based on this connection we also propose [3] an algorithm for *composition vector* design.



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[1] T. Berger, F. Jelinek, and J. K. Wolf, "Permutation Codes for Sources," *IEEE Trans. Inform. Theory*, vol.IT-18, pp.160-169, Jan. 1972.

[2] A. V. Goldberg, and R. Kennedy, "An Efficient Cost Scaling Algorithm for the assignment Problem," Tech Report CS Department, Stanford University, Stanford, CA, May 1995.

[3] W. A. Finamore, D. Silva, and S. V. B. Bruno, "Vector Permutation Code Design Algorithm", submitted to the *International Symposium on Information Theory*, Chicago, IL, 2004.